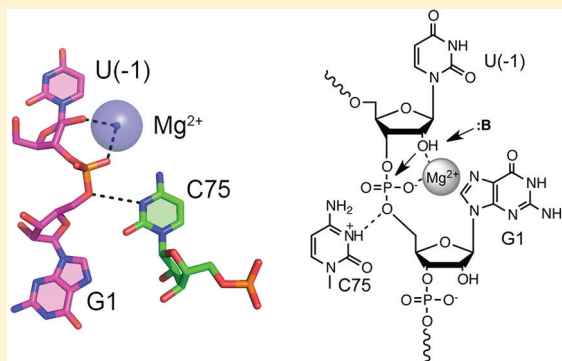


Two Distinct Catalytic Strategies in the Hepatitis Delta Virus Ribozyme Cleavage Reaction

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ABSTRACT: The hepatitis delta virus (HDV) ribozyme and related RNAs are widely dispersed in nature. This RNA is a small nucleolytic ribozyme that self-cleaves to generate products with a 2',3'-cyclic phosphate and a free 5'-hydroxyl. Although small ribozymes are dependent on divalent metal ions under biologically relevant buffer conditions, they function in the absence of divalent metal ions at high ionic strengths. This characteristic suggests that a functional group within the covalent structure of small ribozymes is facilitating catalysis. Structural and mechanistic analyses have demonstrated that the HDV ribozyme active site contains a cytosine with a perturbed pK_a that serves as a general acid to protonate the leaving group. The reaction of the HDV ribozyme in monovalent cations alone never approaches the velocity of the Mg^{2+} -dependent reaction, and there is significant biochemical evidence that a Mg^{2+} ion participates directly in catalysis. A recent crystal structure of the HDV ribozyme revealed that there is a metal binding pocket in the HDV ribozyme active site. Modeling of the cleavage site into the structure suggested that this metal ion can interact directly with the scissile phosphate and the nucleophile. In this manner, the Mg^{2+} ion can serve as a Lewis acid, facilitating deprotonation of the nucleophile and stabilizing the conformation of the cleavage site for in-line attack of the nucleophile at the scissile phosphate. This catalytic strategy had previously been observed only in much larger ribozymes. Thus, in contrast to most large and small ribozymes, the HDV ribozyme uses two distinct catalytic strategies in its cleavage reaction.



Ribozymes, like their protein enzyme counterparts, achieve biological catalysis by folding into stable, compact tertiary structures.^{1–3} Ribozymes function in many fundamental biological processes, including mRNA splicing, protein translation, gene regulation, and critical RNA processing events. RNA possesses a negatively charged backbone, and thus, folding of ribozymes is often dependent on the presence of divalent cations, typically Mg^{2+} . In addition to playing structural roles, Mg^{2+} ions can be directly involved catalysis.^{2,4}

While proteins are composed of a diverse group of amino acids, strands of RNA are synthesized from only four similar nucleosides: adenosine, guanosine, cytidine, and uridine. The naturally occurring nucleobases are remarkably stable. They have pK_a values that are outside biologically relevant buffer conditions, and they do not readily tautomerize. RNA chains lack sequence diversity and therefore are prone to misfolding into non-native conformations. RNAs also lack the extended hydrophobic core of proteins that is so effective at shifting the pK_a values of buried charged amino acids.^{5,6}

To counteract the fundamental inertness of RNA, ribozymes rely on a few common mechanistic strategies: metal-mediated catalysis and general acid–general base catalysis.^{2,3,7,8} The phosphate groups in the RNA backbone are capable of chelating divalent metal ions and positioning them accurately to participate in catalysis. Metal-mediated catalysis is observed in a wide variety of naturally occurring and artificially evolved ribozymes.^{2,9–11} Surprisingly, RNA molecules are also known

to use general acid–general base catalysis without the participation of divalent cations as a catalytic strategy.^{1,3,8} In many small ribozymes, nucleobases, including adenine, guanine, and cytosine, and small molecule cofactors are observed in position to participate in proton transfer reactions. These functional groups have pK_a values far from neutrality when free in solution. To make most efficient use of these general acids and general bases at biologically relevant pH values, the pK_a values of the groups would have to shift >1 pH unit toward neutrality.

The hepatitis delta virus (HDV) ribozyme (Figure 1) was one of the first two ribozymes whose crystal structure was determined,¹² yet key questions about its structure and catalytic mechanism remain. Although there was significant evidence that a Mg^{2+} ion lurked in the active site of this ribozyme, the location and the role of this ion remained undefined.^{13–15} Was a major conformational switch required over the course of the catalytic reaction as previously suggested?^{16–18} Here, I will present a recent model for the cleavage reaction of the HDV ribozyme that invokes both of the two key mechanisms by which ribozymes catalyze reactions, metal-mediated catalysis and general acid–general base catalysis.

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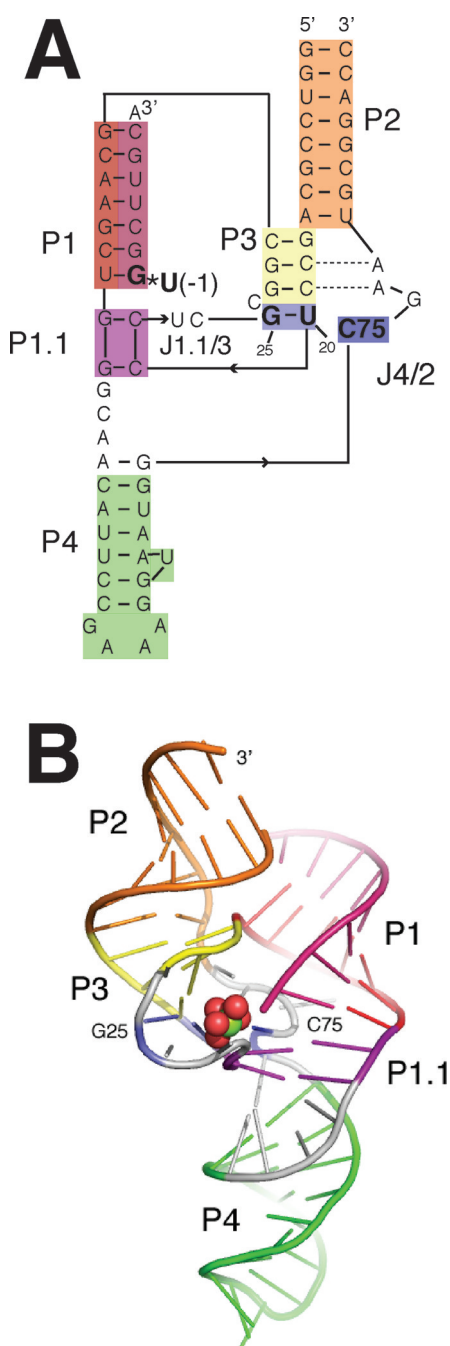


Figure 1. Structure of the HDV ribozyme. (A) Secondary structure of the HDV ribozyme. The HDV ribozyme folds into a pseudoknot containing five core paired regions (P1–P4 and P1.1). In the trans-acting version of the ribozyme, a short substrate, U(–1) to A8 here, base pairs to the ribozyme to form helix P1. The cleavage site is denoted with an asterisk. The J1.1–3 and J4–2 junctions contribute key nucleobases to the active site of the ribozyme. (B) Tertiary structure of the HDV ribozyme (PDB entry 3NKB). The active site is located at the junction of helices P1, P1.1, and P3. Key residues are labeled. A largely hydrated active site Mg^{2+} ion is shown as spheres. This figure was adapted from ref 33.

■ SMALL SELF-CLEAVING RIBOZYMES AND LARGE RIBOZYMES EXHIBIT FUNDAMENTAL DIFFERENCES

Ribozymes can be divided into two families. Large ribozymes, including group I introns, group II introns, and RNase P, are

typically >200 nucleotides in length and have a multidomain structure. Their active site pockets are created by the junctions of multiple double helices and multiple “single-stranded” regions of RNA.^{2,19–23} These complex active sites bring together clusters of two or three negatively charged phosphate groups from the ribozyme core that serve to chelate and orient catalytic metal ions. The large ribozymes are critically dependent on Mg^{2+} (or sometimes Mn^{2+}) ions for catalytic activity and are thus obligatory metalloenzymes. Large ribozymes activate water or a distant exogenous or endogenous nucleotide for nucleophilic attack at a scissile phosphate to generate products with a 5′-phosphate and a free 3′-hydroxyl. The active sites of these molecules are large enough to bind and position small substrates, such as guanosine or water, and can bind and position helical substrates, such as tRNA, in trans and position them for cleavage.

Small nucleolytic ribozymes, including the HDV, hammerhead, hairpin Varkud satellite (VS), and glucosamine 6-phosphate (glmS)-responsive ribozymes, catalyze related RNA self-cleavage reactions.^{3,24} Small ribozymes perform a reaction that is distinct from that performed by the large ribozymes. The 2′-OH of the upstream nucleotide is activated for nucleophilic attack at the scissile phosphate to cleave their RNA substrate and generate 2′,3′-cyclic phosphate and 5′-OH termini on the cleavage products¹ (Figure 2). All have evolved as self-cleaving, cis-acting elements, and all can be engineered to base pair and, subsequently, cleave a single-stranded RNA substrate in trans. The active sites of small ribozymes are usually formed by the juxtaposition of two base-paired helices and typically lack the phosphate clusters observed in large ribozymes. All can react in the absence of Mg^{2+} , albeit slower,^{13,25} if sufficiently high concentrations of monovalent cation are present to allow the RNA to fold. It was therefore anticipated that these ribozymes would function by Mg^{2+} -free mechanisms. The ability to function in the absence of Mg^{2+} ion suggests that functional groups within the covalent structure of RNA itself accelerate the chemical reaction. Indeed, all of these ribozymes appear to use general acid–general base mechanisms to cleave their RNA substrates.

■ HEPATITIS DELTA VIRUS RIBOZYME

The HDV ribozyme (~85 nucleotides) was originally identified in the both genomic and antigenomic strands of the HDV RNA (1.7 kb).²⁶ This ribozyme plays an integral part in viral replication. Both genomic and antigenomic RNAs are synthesized by a rolling circle mechanism from a circular RNA template, and both contain closely related ribozymes. The RNA produced in this manner contains tandem repeats of the genome. The genomic and antigenomic ribozymes self-cleave to resolve the long concatemers²⁷ into single, unit-sized pieces that can then be ligated to create circular single-stranded RNA. While this RNA evolved as a self-cleaving, cis-acting ribozyme, it can be engineered to cleave an RNA substrate in trans as shown in Figure 1. Folding of such trans-acting ribozymes requires the presence of the substrate RNA. Thus, while we make the distinction between the “active site” of the ribozyme and the “cleavage site” of the substrate for convenience, the structure of each these elements is critically dependent on the other.

For many years, the HDV ribozyme was believed to be an orphan, found only in a rare human virus. Recently, however, HDV-like ribozymes have been discovered to be widespread.^{28–30} These catalytic RNAs and their cleavage sites

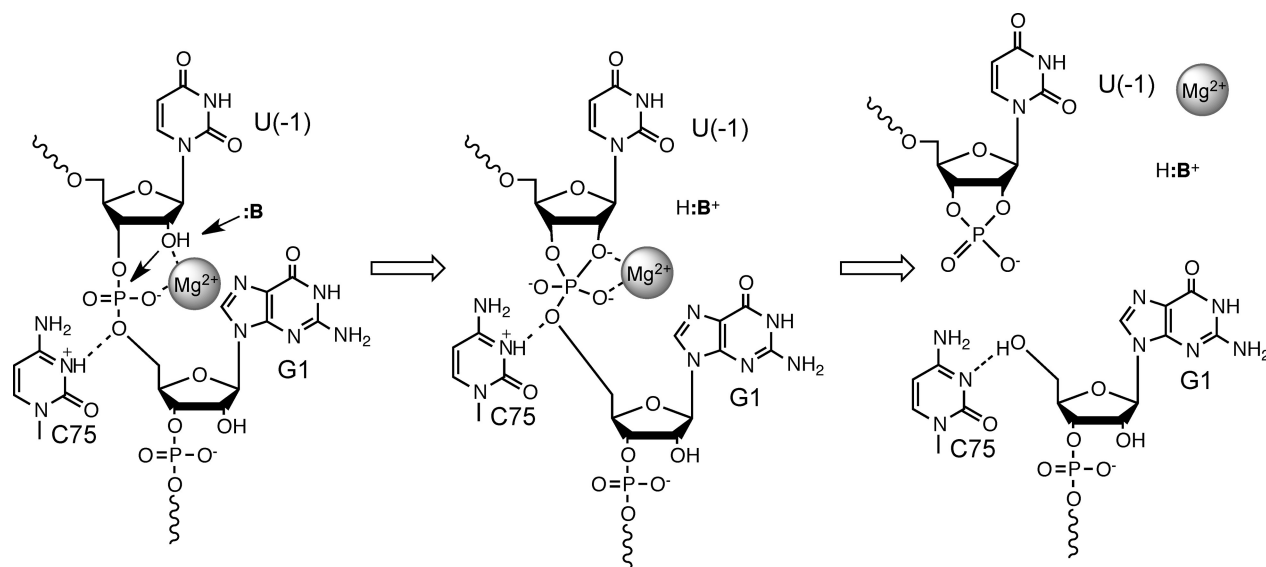


Figure 2. Catalytic mechanism of the HDV ribozyme. In the substrate-bound state (left), C75 is protonated and donates a hydrogen bond to the 5'-O of G1. The active site metal ion is directly coordinated to the *pro-R_p* oxygen of G1 and the 2'-hydroxyl of U(-1). Metal binding to the nucleophile facilitates deprotonation by a yet unknown base (:B). C75⁺ and the active site Mg^{2+} ion have the potential to stabilize a phosphorane intermediate (middle) generated by attack of the 2'-O on the scissile phosphate. This intermediate is resolved to form the 2',3'-cyclic phosphate on U(-1) and a free 5'-hydroxyl on G1 (right).

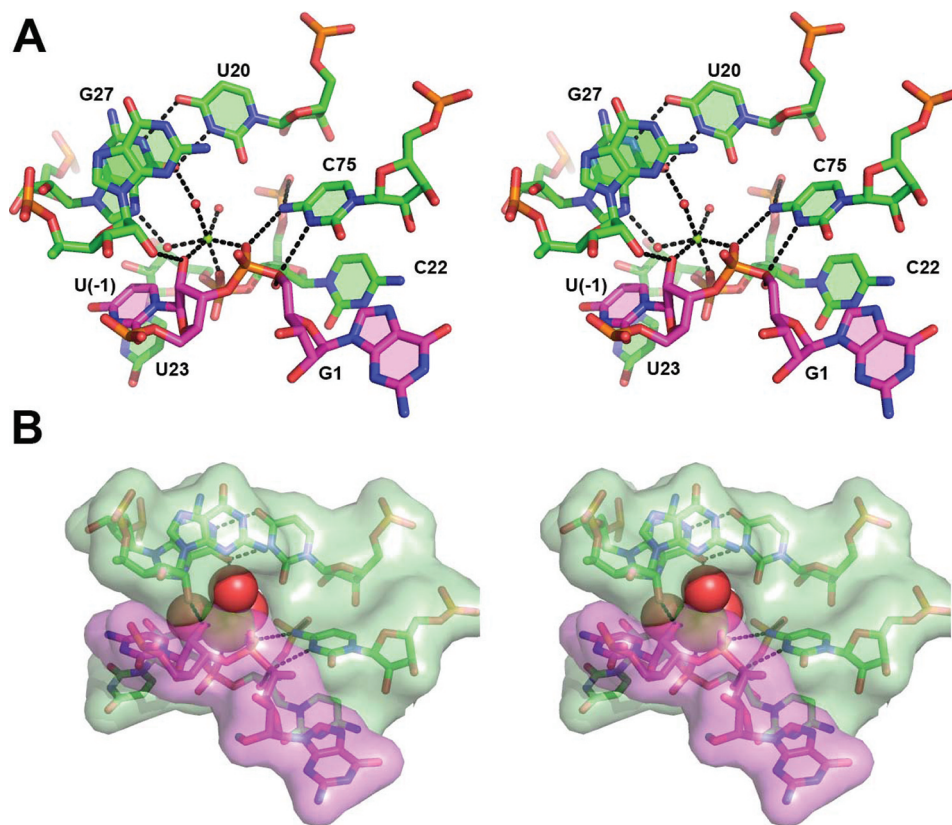


Figure 3. Cleavage site of the hammerhead ribozyme docked into the active site of the HDV ribozyme. The HDV ribozyme active site is colored green, and the cleavage site is colored pink. (A) Key atoms in the cleavage site, including the 2'-hydroxyl of U(-1), the *pro-R_p* oxygen of G1, and the 5'-hydroxyl of G1, are held in place by at least two hydrogen bonds or metal-mediated interactions. G25 is positioned directly under G27, and it is not labeled. (B) There is extensive shape complementarity between the cleavage site and the active site. Molecular surfaces for the cleavage site (pink) and the active site (green) were generated independently. The active site metal ion and its hydration shell are shown as spheres.

appear to have defined the 5'-end of a mobile genetic element that was broadcast throughout nature.^{29,30} What might the HDV-like ribozymes be doing in modern biology? Outside of

the ribozymes found within the HDV, it is not yet clear what cellular functions these ribozymes are playing. The catalytic activity of ribozymes from *Anopheles gambiae* is developmen-

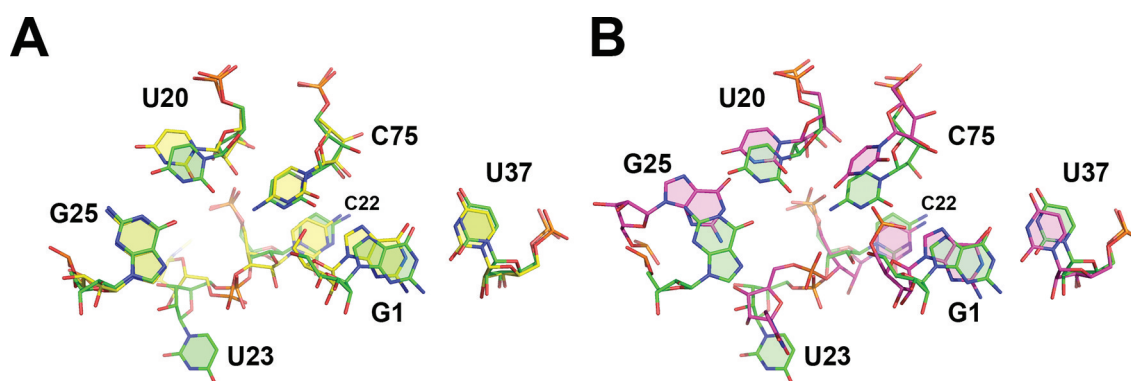


Figure 4. Active site of the product-bound ribozyme that is similar to the active site of the inhibitor-bound ribozyme. (A) The active site of the product-bound ribozyme (yellow) (PDB entry 1CXO) was superposed on the active site of the inhibitor-bound ribozyme (green) (PDB entry 3NKB). Only minor differences were observed between the two structures. The structure of the inhibited ribozyme is consistent with the electron density of the product-bound ribozyme. Thus, the observed differences are likely due to the weak electron density in this region of 1CXO. (B) Active site of the C75U mutant (red) (PDB entry 1SJ3) is significantly different than the active site of the inhibitor-bound ribozyme (green) (PDB entry 3NKB). Mutation of C75 to a U disrupts a critical hydrogen bond network that organizes the active site. The active site of the C75U mutant is therefore significantly less compact. This figure was adapted from ref 33.

tally regulated, suggesting that these molecules may play roles in gene expression.²⁹

■ STRUCTURAL BIOLOGY OF THE HDV RIBOZYME

The three-dimensional structure of the HDV ribozyme has been extensively studied by X-ray crystallography (Figure 1). In 1998, Ferré-D'Amaré et al. determined the structure of the ribozyme postcleavage.¹² This crystal structure revealed the overall fold of the self-cleaving ribozyme and defined the nucleotides that were well positioned to participate in catalysis. N3 of C75 was observed to serve as a hydrogen bond acceptor for the 5'-hydroxyl of G1, the leaving group in the cleavage reaction¹² (Figure 2). Thus, this structure suggests that C75 participates in the cleavage reaction. Mutation of C75 to a U results in a catalytically inactive ribozyme that can be rescued by imidazole, further implicating this nucleotide as a catalytic nucleobase.³¹ There are only a few interactions between the ribozyme active site and the nucleotides upstream of the cleavage site. Therefore, dissociation of the upstream fragment containing the 2',3'-cyclic phosphate is rapid relative to the reverse, ligation, reaction.³² The upstream cleavage product, including the scissile phosphate, is therefore not visible in this crystal structure. To understand fully how the ribozyme activates the 2'-hydroxyl nucleophile, another crystal structure was needed.

Recently, we determined the crystal structure of the HDV ribozyme trapped in a precleavage state³³ (Figure 1). Ribozyme cleavage was inhibited by replacing the 2'-hydroxyl of U(−1) with a hydrogen. The electron density for the RNA at the cleavage site, including the scissile phosphate and the upstream nucleotide U(−1), is poor in this crystal form. Fortunately, the crystal structure provides sufficient constraints to generate a model for the cleavage site. There are steric constraints: the ribozyme and the cleavage site dinucleotide cannot occupy the same space. There are base pairing constraints: the downstream nucleotide, G1, is base paired to U37, and G1 is resolved in the electron density map. There are chemical constraints: the 2'-hydroxyl of U(−1) must be positioned for in-line attack at the scissile phosphate. There are crystal structures available for several small ribozymes, and these provide models for cleavage site dinucleotides in conformations consistent with in-line attack.^{27,34–37}

To create a picture of the RNA substrate precleavage, we superposed the downstream nucleotide of a ribozyme's cleavage site with G1 from the HDV ribozyme. The cleavage site from the hammerhead ribozyme²⁷ provided the best fit to the HDV ribozyme active site (Figure 3). The conformation of the dinucleotide in the HDV ribozyme is unchanged from that observed in the hammerhead ribozyme, except for rotations about the glycosidic bonds to maximize stacking interactions in the HDV ribozyme active site. Inspection of the electron density in this region of the molecule indicates that the crystallographic data are consistent with this model, even if they are insufficient to uniquely define it. The conformation of the cleavage site dinucleotide is satisfying in that there is complementarity between the ribozyme active site and the cleavage site. Key atoms surrounding the scissile phosphate are held in place by two hydrogen bonds or metal ion interactions within the active site, and there is extensive shape complementarity between the ribozyme active site and the cleavage site (Figure 3). The resulting model is consistent with the wealth of biochemical data available for the HDV ribozyme (described below).

Comparison of the conformation of the HDV ribozyme in the precleavage and postcleavage states reveals very little change in the overall structure (Figure 4A). There are minor differences at nucleotides U20 and U23. However, a reexamination of the diffraction data from the postcleavage, product state suggests that the structure of the active site of the precleavage ribozyme is compatible with the crystallographic data of the cleaved ribozyme reported by Ferré-D'Amaré et al.¹² The differences between the two models are likely to be the result of ambiguous electron density in the structure of the cleaved ribozyme. Thus, no major conformational switching is necessary to get from the precleavage state to the product form of the ribozyme as had been previously suggested.^{16–18}

C75 not only participates in the cleavage reaction but also contributes to the architecture of the active site pocket. This is demonstrated by the structure of the catalytically inactive mutant, C75U.¹⁷ Uracil differs from protonated cytosine in that the exocyclic amine of C is replaced with a keto group, and N3, while protonated in both nucleobases, is positively charged only in cytosine. The exocyclic amine of C75 makes key interactions with the ribozyme active site, including hydrogen bonds to the

pro-R_p oxygens at the C22 and scissile phosphates. Furthermore, protonation of cytosine results in a significant positive dipole on the exocyclic amine.^{38,39} When this functional group is replaced with a keto group, the active site misfolds. Comparison of the C75U mutant to the WT product ribozyme reveals that the mutant active site is significantly disrupted and is more open, consistent with a broken network of hydrogen bonds in the C75U mutant (Figure 4B). This result emphasizes the fact that the functional groups on C75 also contribute to the stability of the active site structure. Although the nucleotides upstream of the substrate are visible in the C75U crystal structure,¹⁷ disruption of the active site likely prevented the substrate from binding in a functional conformation.

■ A CYTOSINE WITH A PERTURBED pK_a SERVES AS A GENERAL ACID

The 5'-hydroxyl leaving group donates a hydrogen bond to the nucleobase of C75 in the product state.¹² Postcleavage, the 5'-O is protonated and can serve as a hydrogen bond donor. Precleavage, however, there is no proton on the 5'-O, and this group can serve as only a hydrogen bond acceptor. If this hydrogen bond is present in the precleaved state, then C75 must serve as the proton donor. Thus, the crystal structure of the cleaved ribozyme suggested that prior to cleavage C75 would be protonated at the N3 position and positively charged (Figure 5). It would transfer this proton to the 5'-hydroxyl

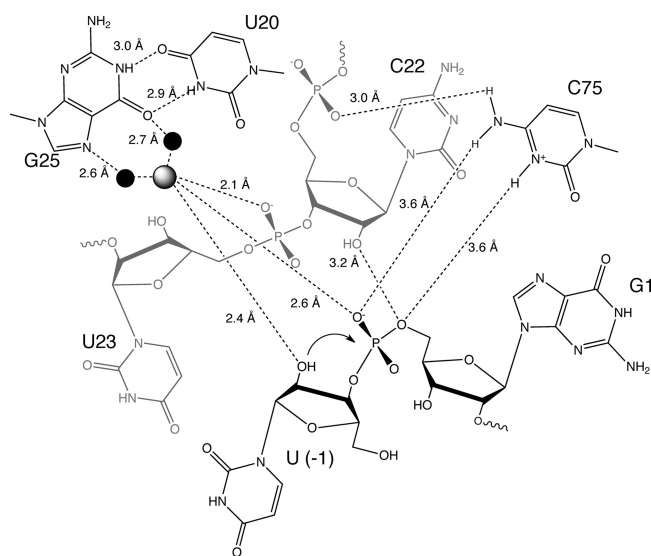


Figure 5. Hydrogen bonds and metal-mediated interactions in the HDV ribozyme active site. The cleavage site dinucleotide from the hammerhead ribozyme was docked into the active site of the HDV ribozyme as previously described. Distances for hydrogen bonds and metal-mediated interactions in the active site are shown. This figure was adapted from ref 33.

leaving group during catalysis to help stabilize the leaving group. Thus, a nucleobase would serve as a general acid in the RNA cleavage reaction. This is reminiscent of the protein ribonuclease RNase A. However, unlike the histidine side chains in the RNase A active site, cytosine typically has a pK_a of ~ 4 .

Although protonated cytosine would be an excellent proton donor, only a very small population of cytosine is protonated at neutral pH under biologically relevant conditions. Under Mg^{2+} -

free conditions, the pH–rate profile of the HDV ribozyme reveals an apparent pK_a near neutrality.^{13,31} To directly measure the pK_a of C75 and to determine if the apparent pK_a observed in solution arose from a cytosine with a shifted pK_a , we used Raman spectroscopy of single RNA crystals to probe the protonation state of C75.⁴⁰ A spectral feature corresponding to neutral cytosine can be observed in the Raman spectrum of HDV ribozyme crystals. As the pH of the surrounding buffer is increased, the intensity of that feature increases. By plotting the area of that peak as a function of pH, we observed a cytosine with a pK_a of ~ 6 . When C75 is mutated to a uridine, this feature is lost. Thus, the active site of the HDV ribozyme appears to shift the pK_a of C75 by >2 pH units.

Mutagenesis experiments confirm a critical role for C75 in the cleavage reaction. The C75U mutation is completely inactive but can be rescued in the presence of imidazole in the reaction buffer. Adenosine is bulkier than cytosine but, like cytosine, can be protonated and has a pK_a of ~ 3.5 . The C75A mutant of the HDV ribozyme is less active than the WT ribozyme, but it is functional and has a pK_a shifted by the same amount as C75.^{13,41} These data suggest that C75 is involved in an essential proton transfer reaction.

Mutagenesis and pH–rate profiles alone cannot be used to determine if a functional group is serving as a general acid or a general base. To address the role of C75 in the cleavage reaction, Das and Piccirilli synthesized a modified substrate in which the 5'-O was substituted with a sulfur atom, which serves as a hyperactivated leaving group.⁴² This modified substrate was readily cleaved by the HDV ribozyme containing the C75U mutation. When the 5'-hydroxyl at the cleavage site is replaced with an activated leaving group, protonation of the leaving group is no longer essential for efficient cleavage, and the proton donor is not required for catalysis. These experiments strongly suggest that C75 serves as a general acid, donating a proton to the 5'-hydroxyl leaving group during the cleavage reaction.

How does a ribozyme active site accomplish pK_a shifting? Insight into this issue has been provided by electrostatics calculations.^{39,43} When N3 of C75 is in position to hydrogen bond with the 5'-O of G1, it is juxtaposed with the negatively charged scissile phosphate. Protonation of cytosine results in significant positive charge on both N3 and exocyclic N4. The *pro-R_p* oxygen of the scissile phosphate makes a hydrogen bond to N4 of C75. The significant interactions between the nucleobase and the negatively charged scissile phosphate serve to stabilize the positive charge on the protonated cytosine base and thereby shift the pK_a . Indeed, the pK_a of C75 is not shifted in the postcleavage ribozyme, which lacks the scissile phosphate.⁴⁴

The active sites of the hairpin and VS ribozymes contain adenosine residues with shifted pK_a values.^{24,45,46} There are two crystal structures of the hairpin ribozymes bound to vanadate-containing transition state mimics.^{47,48} In both of these models, hydrogen bonds between the exocyclic amine of adenosine and the nonbridging vanadate oxygens are observed in addition to hydrogen bonds from N1 of adenosine to the 5'-hydroxyl leaving groups. Likewise, the glmS ribozyme shifts the pK_a of an amine group from its glucosamine 6-phosphate cofactor, which then serves as a general acid in the reaction.^{49,50} The theme emerges that the general acids used by the nucleolytic ribozymes are positively charged, as opposed to neutral, when protonated. The interaction of the general acid with the 5'-hydroxyl leaving group results in a juxtaposition of the general

acid and the negatively charged scissile phosphate. The proximity of the general acid and the anionic phosphate stabilizes the positive charge of the protonated general acid, and this serves to shift the pK_a toward neutrality.

■ THERE IS A METAL BINDING POCKET IN THE HDV RIBOZYME ACTIVE SITE

The recent crystal structure of the HDV ribozyme bound to an inhibitor RNA revealed a Mg^{2+} ion in the active site in the proximity of the 2'-hydroxyl of U(-1).³³ Ligands to this Mg^{2+} ion are the *pro-S_p* oxygen of C22, the *pro-R_p* oxygen of the scissile phosphate, the 2'-hydroxyl of U(-1), and three water molecules (Figure 5). In contrast to the catalytic Mg^{2+} ions observed in the large ribozymes where three of four phosphate oxygen atoms typically coordinate catalytic Mg^{2+} ions,² there is only a single phosphate ligand from the HDV ribozyme core and a total of two phosphate oxygen ligands to this Mg^{2+} ion. The HDV ribozyme therefore uses an alternate strategy, a rare base pair with metal binding properties, to create an active site metal binding pocket.

Divalent metal ions are often observed to interact with canonical G-U wobble base pairs through the ion's hydration shells^{51,52} (Figure 6). In contrast to Watson-Crick base pairs,

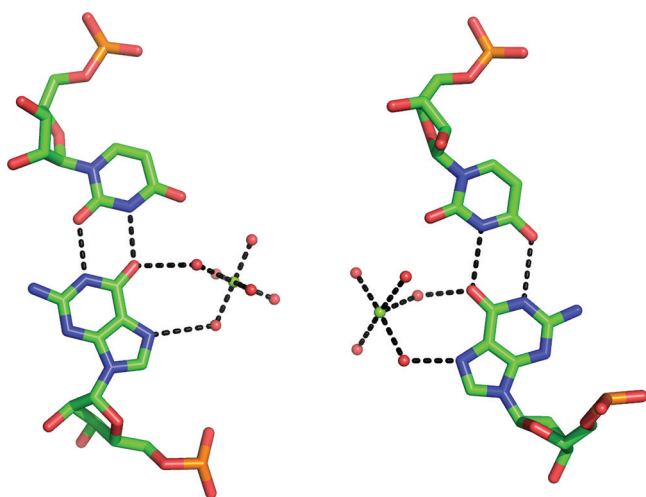


Figure 6. Comparison of metal binding by a standard G-U base pair and a reverse G-U wobble base pair. Standard G-U wobble base pairs (left) are often associated with major groove $Mg(H_2O)_6^{2+}$ binding sites (PDB entry 1HR2). G25 and U20 base pair in the reverse G-U wobble geometry (right). This helps to create a binding site for a largely hydrated Mg^{2+} ion in the minor groove. The minor groove is on the left in both panels.

canonical G-U wobble pairs provide a concentration of negative dipoles in the major groove that attracts cations. The major groove of A-form RNA, however, is deep, and the metal ions bound there are inaccessible for the long-range tertiary structure formation needed to build ribozyme active sites. When a reverse G-U wobble pair is formed with a syn G base, an electron-rich surface patch is also formed, but it is found on the more accessible minor groove face of the helix (Figure 6).³⁹ Thus, reverse wobbles represent a strategy for creating minor groove metal binding motifs, which could be used to facilitate metal-mediated tertiary contacts or the binding of catalytic metal ions.

In the HDV ribozyme, G25 and U20 form this rare, reverse G-U wobble base pair (Figure 5). These nucleotides are

universally conserved in all of the known HDV-like ribozymes (although the significance of this is unknown as the identity of these nucleotides was used in the screening process).^{28,29} G25 is a second-shell ligand to the catalytic metal ion, interacting with this ion through two water molecules. The reverse G-U wobble pair, the scissile phosphate, and the phosphate at position U23 create a highly negatively charged binding pocket in the active site. This negatively charged pocket not only serves to bind the catalytic Mg^{2+} ion but also interacts with C75 through its exocyclic amine,^{33,39} a feature that may contribute to the anticoperative binding of the proton on C75 and the catalytic Mg^{2+} .

A related metal binding site was previously observed in the crystal structure of the C75U variant ribozyme, although the metal binding pocket is significantly distorted because of the mutation.¹⁷ Ligands to this metal ion include keto oxygens from U20 (O2) and U75 (O4), phosphate oxygens from C22, U23, and U(-1), and the 5'-oxygen leaving group from G1. It is important to note that O4 of U75 is not, however, present in the wild-type ribozyme, as position 75 is an invariant C nucleotide. In addition, the 5'-oxygen of G1 is hydrogen bound to C75 in the WT ribozyme and therefore unavailable to bind the active site metal. All of the distances between the metal ion and ligands from the C75U ribozyme are ≥ 2.7 Å, consistent with water-mediated interactions. Divalent cations (Mg^{2+} , Mn^{2+} , Sr^{2+} , and Ba^{2+}), trivalent cations, $Co(NH_3)_6^{3+}$, and monovalent cation (Tl^+) are all observed to bind in similar positions (Figure 7).^{17,53}

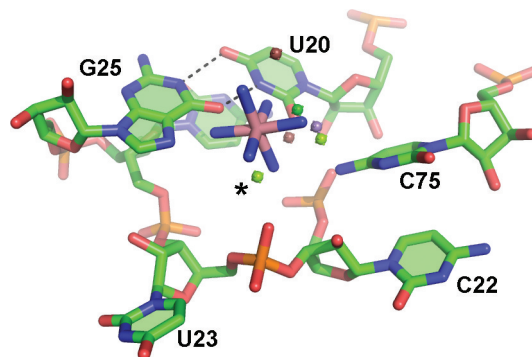


Figure 7. Active site metal binding pocket that can accommodate a variety of cations. The active site Mg^{2+} (yellow, marked with an asterisk) observed in the inhibitor-bound ribozyme (PDB entry 3NKB) overlaps with the binding sites for Mg^{2+} (yellow), Mn^{2+} (violet), Ba^{2+} (green), Tl^+ (red), and $Co(NH_3)_6^{3+}$ (blue and pink) observed in the structures of the C75U mutant (PDB entries 1SJ3, 1SJF, 2OIH, 1VBZ, and 1VBY, respectively).

■ THERE ARE TWO CLASSES OF SMALL RIBOZYMES

Small ribozymes have distinct responses to variations in cation identity, and these responses suggest that while some small ribozymes are metal-independent, others, like the HDV ribozyme, are likely metalloenzymes.

We can sort ribozymes into two major classes (here termed class 1 and class 2) on the basis of their response to the trivalent ion complex $[Co(NH_3)_6]^{3+}$. $[Co(NH_3)_6]^{3+}$ mimics a fully hydrated magnesium ion but differs in two manners: First, the ligands are exchange inert; thus, there can be no direct coordination between ligands on the ribozyme and the cobalt center. Second, the pK_a of the amine groups of $[Co(NH_3)_6]^{3+}$

is much higher than that of water bound to magnesium ions; therefore, they do not participate in proton transfer reactions.⁵⁴

Class I ribozymes include the hairpin, VS, and glmS ribozymes. These RNAs function in $[\text{Co}(\text{NH}_3)_6]^{3+}$ alone, and their Mg^{2+} -dependent reactions are not inhibited by $[\text{Co}(\text{NH}_3)_6]^{3+}$.^{55–59} These data suggest that, even under biologically relevant buffer conditions, Mg^{2+} does not participate directly in their chemical reactions. Mechanistic studies have suggested that the active sites of the hairpin and VS ribozymes contain two critical bases, an adenine and a guanine, that interact with the 5'-oxygen leaving group and the 2'-hydroxyl nucleophile, respectively, to facilitate catalysis through general acid–general base catalysis.^{34,60,61} The glmS ribozyme, which uses a guanosine nucleobase and a substrate amine to perform general acid–general base catalysis, is probably also in this category. Binding of the glucosamine 6-phosphate ligand is metal ion-dependent, however, and this complicates this analysis.^{58,59}

The class II ribozymes include the hammerhead and HDV ribozymes. These RNAs exhibit a distinctly different response to $[\text{Co}(\text{NH}_3)_6]^{3+}$ than class I ribozymes.^{62–64} In physiological buffers (low to moderate ionic strength in the presence of Mg^{2+}), $[\text{Co}(\text{NH}_3)_6]^{3+}$ is strongly inhibitory with respect to the HDV and hammerhead ribozymes. In the HDV ribozyme, $[\text{Co}(\text{NH}_3)_6]^{3+}$ has been shown to inhibit in a manner that is competitive with Mg^{2+} ion.¹³ In addition, Raman spectroscopy of the HDV ribozyme reveals that a $[\text{Co}(\text{NH}_3)_6]^{3+}$ ion binds in the active site near C75 and can be readily displaced by a Mg^{2+} ion.⁶⁵ These data suggest that $[\text{Co}(\text{NH}_3)_6]^{3+}$ is capable of displacing catalytic Mg^{2+} ions and thereby inhibiting the reaction. In high-ionic strength buffers (1 M NaCl), the requirement for a catalytic Mg^{2+} can be bypassed by an alternate mechanism, and $[\text{Co}(\text{NH}_3)_6]^{3+}$ is not inhibitory.⁶² In the hammerhead ribozyme, functional groups required for the Mg^{2+} -dependent catalysis are not required for reaction at high ionic strengths.⁶⁶ This provides evidence that under biologically relevant conditions, the hammerhead ribozyme functions as a metalloenzyme. Under Mg^{2+} -free, high-ionic strength conditions, both the HDV and hammerhead ribozymes cannot work at maximal rates and undergo decreases in activity of at least 25-fold due to loss of catalytic metal ions.^{14,25,66,67}

These data indicate a significant role for Mg^{2+} in the mechanisms of the HDV and hammerhead ribozymes. Indeed, crystal structures of the HDV and hammerhead but not the hairpin or glmS ribozymes reveal active site metal ions positioned to participate in catalysis. The metal ion observed in the recent crystal structures of the hammerhead ribozyme is not close enough to the cleavage site to participate in catalysis.⁶⁸ However, spectroscopic analysis⁶⁹ and computational simulations^{70,71} suggest that the active site metal ion moves closer to the scissile phosphate prior to catalysis, possibly to interact with the 5'-hydroxyl leaving group. Thus, both the hammerhead and HDV ribozymes are likely to be metal-dependent under biologically relevant conditions.

■ THERE IS SIGNIFICANT BIOCHEMICAL EVIDENCE OF THE PARTICIPATION OF A DIVALENT CATION IN HDV RIBOZYME CATALYSIS

The importance of the active site metal ion in the HDV ribozyme is underscored by the deleterious effects of mutations and modifications to nucleotides surrounding this ion. The G25-U20 reverse wobble, which interacts with the active site metal ion through its hydration shell, is present in all known

HDV-like ribozymes. Single mutations, such as G25A or U20C, that would allow formation of a Watson–Crick G–C or U–A base pair at this position reduce the rate constant by $\sim 10^4$ -fold.^{72,73} In addition, modifications that affect the positioning of U20, including phosphorothioate substitution at the *pro-R_p* oxygen of C22 and deoxyribose substitution at U20, inhibit the reaction.^{74,75}

In the crystal structure of the HDV ribozyme precleavage, the *pro-R_p* oxygen at the scissile phosphate is an inner sphere ligand to the active site Mg^{2+} (Figure 5). Substitution of this oxygen atom with a sulfur atom has an interesting effect: 80–90% of the modified substrate is not cleaved by the ribozyme, while 10–20% is cleaved with the same rate constant as unmodified substrate or a substrate with a sulfur substitution at the *pro-S_p* oxygen.⁷⁶ It is not clear why 10–20% of the modified substrate is reactive while 80–90% is unreactive. It is possible that the modified substrate was contaminated with residual unmodified substrate or the alternate stereoisomer, or it is possible that a minor fraction of the modified substrate was being cleaved by an alternate, metal-independent reaction pathway.¹⁴ This result suggests that the *pro-R_p* oxygen at the scissile phosphate is playing a critical role, although it does not address whether that role is metal binding. Sulfur substitution at the *pro-R_p* oxygen could not be rescued by thiophilic metal ions, and therefore, a direct interaction between the *pro-R_p* oxygen of G1 and a metal ion cannot be inferred from this experiment.⁷⁶ However, in addition to its interaction with the active site Mg^{2+} ion, the *pro-R_p* oxygen of G1 is within 3.6 Å of N4 of C75. Substitution of the *pro-R_p* oxygen of the scissile phosphate with the bulkier sulfur atom may disrupt interactions with N4 of C75 and thereby incorrectly position the general acid within the active site³⁹ or unfavorably modulate the pK_a of C75.⁴⁰ These multiple roles may explain why sulfur substitution at the *pro-R_p* oxygen of the scissile phosphate negatively influences catalysis but cannot be rescued by thiophilic metal ions.

■ A LACK OF SPECIFICITY IN METAL PREFERENCE DOES NOT MEAN A LACK OF ACTIVE SITE METAL

There are only two direct contacts between the ribozyme and the catalytic metal ion, and the rest are solvent-mediated. This characteristic might be the reason that the HDV ribozyme active site is able to accommodate metal ions with a wide variety of atomic radii and other chemical characteristics. Large ribozymes, including the group I and II self-splicing introns and RNase P, use phosphate clusters to bind and position metal ions and are exquisitely sensitive to the identity of the divalent cation. All will work in the presence of Mg^{2+} ion, and many will work in the presence of Mn^{2+} ion. Ca^{2+} ion, however, is often found to support the formation of tertiary structure but does not support catalytic activity. In contrast, the HDV and hammerhead ribozymes bind their active site metal through solvent-mediated interactions^{33,68} and are more promiscuous with respect to metal ion specificity.^{62,77,78} This lack of specificity is likely the result of the nature of the small ribozyme catalytic metal binding site. This is important to note because a lack of specificity could be interpreted as a lack of metal ions in the catalytic mechanism, but this does not appear to be true.

■ A CHANGE IN THE CATALYTIC MECHANISM IN THE ABSENCE OF Mg^{2+}

The cleavage reaction of the HDV ribozyme is multichannel,⁶² occurring in the presence or absence of the catalytic Mg^{2+} .^{13,14,79} Thus, in buffers containing only monovalent cations, the reaction proceeds through an alternative but related mechanism to that catalyzed in the presence of the catalytic Mg^{2+} . The overall rate of reaction is ~3000-fold slower in 1 M NaCl than in saturating Mg^{2+} . Mg^{2+} contributes ~125-fold by facilitating folding and ~25-fold by facilitating chemistry.

Evidence of the participation of Mg^{2+} in the cleavage reaction is provided by analysis of the pH–rate profile of the reaction. In the presence of Mg^{2+} , the pH–rate profile increases log linearly until the pH reaches ~9.0, where it plateaus. In contrast, in the absence of Mg^{2+} , the catalytic rate constant decreases log linearly with increasing pH, revealing a pK_a near neutrality.^{13,41,64} The pK_a observed in the absence of Mg^{2+} is consistent with the pK_a of C75, and the value of this pK_a is consistent with direct measurement of the pK_a of C75 by Raman spectroscopy at a low Mg^{2+} concentration.⁴⁰ As the general acid is deprotonated, the rate of reaction decreases. However, this pH dependence of the general acid is obscured in the presence of Mg^{2+} by a second, Mg^{2+} -dependent pK_a , with a value of ≥ 9 . This pK_a is too high to measure because RNA unfolds and hydrolyzes nonspecifically under these conditions. This suggests that, in the presence of Mg^{2+} , there is a second proton transfer associated with a Mg^{2+} ion involved in the catalytic mechanism that is not present in the Mg^{2+} -free reaction.

Is this Mg^{2+} -dependent pK_a associated with the active site or the result of a long-range structural effect? The pK_a of C75 is sensitive to the concentration of Mg^{2+} ion, suggesting that there is at least one Mg^{2+} ion bound in the active site in the vicinity of C75. When the Mg^{2+} binding site is occupied, protonation of C75 is more difficult and the pK_a of C75 decreases. Conversely, as the Mg^{2+} concentration decreases and the Mg^{2+} binding site is less occupied, the pK_a of C75 increases. Anticooperative binding of the proton on C75 and a Mg^{2+} ion can be directly observed by Raman spectroscopy,⁶⁵ which suggests that the two positive charges are in sufficient proximity to interact with each other electrostatically.

Proton inventory experiments also suggest a Mg^{2+} ion is involved in the catalytic mechanism. The proton inventory of the HDV cleavage reaction is 2 when the concentration of Mg^{2+} is low or zero, implying two proton transfers in the rate-limiting step. However, proton inventories approach 1 at high Mg^{2+} concentrations.^{80,81} These data are consistent with a mechanism in which an active site Mg^{2+} binds to the U(–1) 2'-O and lowers the pK_a of the 2'-O to facilitate deprotonation of the nucleophile. This leaves only a single proton transfer, from C75 to OS' of G1, in the rate-limiting step. Na^+ is observed to bind within the active site pocket in a position that partially overlaps the catalytic Mg^{2+} ion.⁸² Na^+ may be able to facilitate catalysis by electrostatic stabilization, but it does not serve as a Lewis acid. Thus, under these conditions, there are two proton transfers in the rate-limiting step. This is supported by solvent isotope effects under Mg^{2+} -free conditions, which suggest that the 2'-OH of U(–1) is deprotonated by a hydroxide ion from solution.⁶⁴ These results are thus generally consistent with the catalytic Mg^{2+} ion serving as a Lewis acid in the cleavage reaction that includes Mg^{2+} .

■ THE HDV RIBOZYME HAS A HYBRID ENGINE

Although the HDV ribozyme is capable of catalysis in the absence of Mg^{2+} ion, this RNA is a metalloenzyme under biologically relevant conditions. The recent crystal structure of this RNA suggests that the active site Mg^{2+} ion interacts directly with the 2'-hydroxyl nucleophile and the scissile phosphate to facilitate catalysis. This type of interaction between a divalent cation and the cleavage site had previously been observed only in large ribozymes, such as group I and II introns and RNase P. The active site Mg^{2+} likely helps to activate and to stabilize developing negative charge on the nucleophile, to orient the cleavage site for in-line attack, and to stabilize the transition state (Figure 2). In this manner, the HDV ribozyme uses metal ion catalysis characteristic of the large ribozymes.

The HDV ribozyme is capable of functioning in the absence of Mg^{2+} ion because much of its catalytic power comes from a catalytic nucleobase, C75, with a pK_a dramatically shifted toward neutrality and capable of participating in general acid catalysis (Figure 2). The hairpin and VS ribozymes both use an adenine with a pK_a shifted toward neutrality as the general acid in the cleavage reaction. The glmS ribozyme appears to use an amine group, also with a shifted pK_a , from its cofactor glucosamine 6-phosphate as a general acid. In its use of a general acid, the HDV ribozyme also uses nucleobase catalysis typical of the small ribozymes. Thus, we see that two distinct catalytic strategies, those typified by large ribozymes and those by small ribozymes, are found under the hood of the HDV ribozyme.

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■ ABBREVIATIONS

glmS, glucosamine 6-phosphate; HDV, hepatitis delta virus; VS, Varkud satellite; WT, wild-type; PDB, Protein Data Bank.

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